

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket No. 09857-0030001	Application No. 09/404,518
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Stuart Serkin et al.	
		Filing Date September 23, 1999	Group Art Unit 3692

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	5,797,002	Aug 18, 1998	Patterson			
	2						
	3						
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	5						
	6						
	7						
	8						
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Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	11							
	12							
	13							
	14							
	15							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	16	Weber, B.W., "How Financial Markets are Going On-Line", EM -- Electronic Markets: Newsletter of the Competence Center Electronic Markets, No. 9-10, October 1993, pps. 6-8, XP002171776 (www.electronicmarkets.org/netacademy/publicatinos.nsf/all_pk/1745/\$file/v3n3_Weber.pdf)
	17	OA dated 10/14/2010 of U.S. Appln. S.N. 09/401,873, filed September 23, 1999.
	18	Reply to OA dated 10/14/2010 of U.S. Appln. S.N. 09/401,873,
	19	Applicant Serial No. 09/401,873 (pending) QUOTE/ORDER PROCESSING IN ELECTRONIC MARKET SYSTEM Serkin et al. filed September 23, 1999

Examiner Signature /Elda Milef/	Date Considered 02/14/2011
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	